

Integrated Optic Devices Based on Nonlinear Optical Polymers

Emmanuel Van Tomme, *Student Member, IEEE*, Peter P. Van Daele, *Member, IEEE*, Roel G. Baets, *Member, IEEE*, and Paul E. Lagasse, *Member, IEEE*

(Invited Paper)

Abstract—In the field of integrated optics, nonlinear optical polymeric materials are relative newcomers compared to LiNbO_3 and III-V materials. In this paper, we will take a closer look at the state of the art of these polymers, in view of their potential advantages. We will show that these organic materials have many attractive features compared to LiNbO_3 and III-V semiconductors with regard to their use in integrated optic circuits, especially since the level of integration is ever increasing. Considering more specifically electro-optic devices, we will describe some of the theoretical backgrounds and basic properties. These polymers have already demonstrated a very high and extremely fast electro-optic effect compared to LiNbO_3 . We will also show how low-loss waveguides can be fabricated by using easy techniques such as direct UV bleaching. The performance of phase modulators, Mach-Zehnder interferometers, and 2×2 space switches built with such polymers is already very promising. The results described in this paper indicate a rapid rate of progress made by this technology, and one can expect that polymers in general and NLO polymers in particular will play an increasingly important role in integrated optics.

I. INTRODUCTION

THE level of integration of integrated optic components has increased continuously over the years. Circuits containing light sources, waveguides, and detectors have been successfully demonstrated. Contrary to the situation in electronic integrated circuits, where silicon technology completely dominates the field, a multitude of materials is currently used in the fabrication of optoelectronic IC's. While III-V semiconductors allow one to integrate all active and passive components, LiNbO_3 is still the preferred substrate if one only needs the electro-optic effect, and both glass and silicon are used for purely passive devices. Compared to those inorganic materials, the organic polymers are relative newcomers in the field of integrated optics. In view of their potential advantages and of the rapid advances that have been made in this field, it is certainly worthwhile to take a closer look at the state of the art of this technology.

Flexibility is probably the key reason for developing polymers for photonic applications. Flexibility in molecular engineering allows chemists to come up with polymers that have properties specifically optimized for applications such as waveguiding or electro-optic effects or harmonic generation [1]–[5]. There is flexibility also in the sense that polymers are basically used as spun-on layers that are readily compatible with many substrate materials ranging from silicon to InP.

Manuscript received July 9, 1990. This work was supported by the European Commission under RACE 1019.

The authors are with the Laboratory of Electromagnetism and Acoustics, University of Gent—Interuniversity Micro-Electronics Centre (IMEC), Sint-Pietersnieuwstraat 41, B-9000 Gent, Belgium.

IEEE Log Number 9143427.

Since it is impossible to review all photonic applications of polymers in a single paper, we will limit the scope of this paper to the use of polymeric materials with high second-order nonlinear effects for applications in integrated optic circuits. For other applications using third-order nonlinearities, needed for frequency tripling, all optical switching, and four wave mixing, we only give some references dealing with the characterization and applications (nonexhaustive) [2], [6]–[15].

II. MATERIAL REQUIREMENTS FOR INTEGRATED OPTICS

In order to be successfully applied in integrated optics, a material must satisfy many criteria: high transparency; easy processing; accurate waveguide definition; high physical, chemical, mechanical, electrical, and thermal stability; compatibility with other materials used in microelectronics and fiber technology; high electro-optic coefficients; high optical power damage threshold for active waveguide devices; and reasonably low cost. Today no material scores very high on all these criteria, but polymers can be perhaps the first to combine most of these properties, avoiding stringent tradeoffs [6].

Improved insight into the molecular behavior of polymers [4], [16]–[20] has resulted in the synthesis of many new organic materials useful for integrated optics [21]–[25]. Polymers with electro-optic coefficients higher than those of LiNbO_3 have already been demonstrated. Most of these measurements, however, were carried out on bulk material or in solution and only a few on multilayers [26]–[28]. For a broad overview of the more chemically oriented research, we refer to [29] and [30].

In polymers, the electro-optic effect is related to a displacement of electrons and not of ions [31], so that a subpicosecond response time can be obtained [1]. A low dielectric constant and high resistivity lower the capacitive losses [28]. Both absorption and scattering losses in waveguides are also expected to be very low [1], and the low refractive index and small refractive index differences allow low coupling losses to optical fibers. Finally, there is almost no restriction on the kind of substrate on which the polymer films can be spun, so large surfaces can be processed at low cost [2].

A possible way to classify the wide variety of organic nonlinear materials is according to the way thin films are deposited [2], [3], [26], [27], [32], [33]. The thin films can be formed either by organic single crystal growth [34], [35], Langmuir-Blodgett thin film growth [36], plasma polymerization [37], [38], evaporation, or sputtering [39], [40]. Most of the films for integrated optic circuits, however, are deposited by spin coating or dipping a liquid solution of the material. But even then, one can distinguish polyimides [41], polymeric guest-host

systems (highly nonlinear molecules are dissolved in a polymer matrix [42]), polymers with main chain active groups or side chain active groups, liquid crystalline polymers, and cross-linked polymer systems [28], [32]. The way of hardening the film is tightly related to the specific kind of polymer. In the remainder of this paper, we will concentrate on spin-coated layers of polymers for electro-optic integrated optic circuits.

III. PROCESSING OF ORGANIC MATERIALS

Comparing nonlinear optical polymers to their main competitor—LiNbO₃—one can point out the following advantages for the polymers [43].

- They are easily processed so that the films can be applied by spin coating or dip coating on large surfaces. Standard photolithography, wet etching, dry etching, and metallization processes can be used, and these make the polymer processing compatible with the fabrication and processing techniques of semiconductor materials and devices.

- All processing steps for the deposition and patterning of polymeric layers are low-temperature processing steps.

- The easy processing and compatibility with semiconductor materials means that the polymers can be combined with GaAs or InP OEIC's.

Two problems currently associated with the use of polymers should also be mentioned.

- The short- and long-term stability of polymers especially with regard to temperature should be improved. One can expect that changes in the molecular structure or cross linking of the polymers will enable a stable performance over the normal temperature ranges [43].

- Due to the variety and specific nature of polymers made by different laboratories, standard processing techniques are not yet established. This currently slows the development of polymer processing technology.

IV. ELECTRO-OPTIC EFFECT IN POLYMERS

The origin and the behavior of the electro-optic effect in polymers, together with the most important parameters, can easily be described by some semiclassical formulas [4], [31], [33], [42], [44], [45]. The induced molecular dipole moment in the presence of a local electric field (F) can be written as follows (implicit summation convention):

$$\mu_i^{\text{ind}} = \alpha_{ij} F_j + \beta_{ijk} F_j F_k + \gamma_{ijkl} F_j F_k F_l + \dots$$

In this equation, α represents the linear polarizability tensor, and β and γ are the higher order hyperpolarizability tensors. From tensor-symmetry relationships, it is clear that only molecules with no center of symmetry can show second-order nonlinearities. At macroscopic level, the induced electric polarization can be expressed in the same way:

$$P_i^{\text{ind}} = \epsilon_0(\chi_{ij}^{(1)} E_j + \chi_{ijk}^{(2)} E_j E_k + \chi_{ijkl}^{(3)} E_j E_k E_l + \dots)$$

where $\chi^{(1)}$, $\chi^{(2)}$, and $\chi^{(3)}$ are the linear, second-order, and third-order susceptibility tensors. $\chi^{(2)}$ is responsible for the linear electro-optic effect and frequency doubling; E is the applied electric field. When the driving fields approach molecular resonance frequencies, dispersion effects in the hyperpolarizability tensors arise. Therefore, it is often necessary to specify the driving and resultant field frequencies. Because of the high absorption in the range of molecular resonances, most devices will be used in a frequency range far from resonance [4].

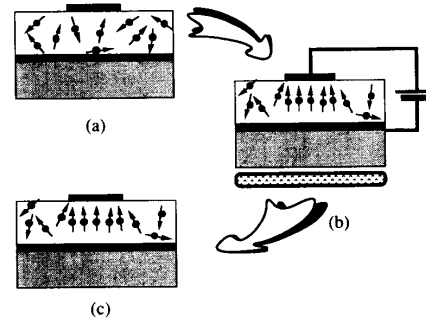


Fig. 1. Schematic view of the poling process. (a) Initially, the dipoles are randomly oriented. (b) When heating the film above the T_g , the dipoles become mobile, and will be aligned by an externally applied electrical field. (c) Cooling down below the T_g , with the field applied, freezes the alignment.

If we consider biaxial materials with the coordinate system chosen along the principal axes, we have the following relationships between the linear electro-optic coefficients r_{ijk} , second harmonic coefficients d_{ijk} , and $\chi^{(2)}$ [22], [46]:

$$\chi_{ijk}^{(2)}(-\omega; \omega, 0) = -\frac{1}{2} \epsilon_{ii}(\omega) \epsilon_{jj}(\omega) r_{ij,k}(-\omega; \omega, 0)$$

$$\chi_{ijk}^{(2)}(-2\omega; \omega, 0) = 2 d_{ijk}(-2\omega; \omega, 0)$$

where ϵ represents the diagonal relative dielectric permittivity tensor. The change of the ϵ tensor, and hence of the refractive index ellipsoid, is related to the r coefficients by

$$\Delta(\epsilon^{-1})_{ij} = \sum_k r_{ij,k} E_k$$

Permutation symmetry allows a contracted notation of r_{ijk} into r_{ik} [22]. Macroscopic second-order nonlinear effects are not present if the material has a symmetry inversion center. Unfortunately, most of the organic crystals crystallize in a centrosymmetric way [2], [47], while an amorphous polymer film also shows inversion symmetry at macroscopic level. Ordering can be induced in the film by "poling" [27], [31]. In the poling process, the film, being heated up to its glass transition temperature T_g (the temperature at which the polymer goes from its rigid glassy state into its mobile rubbery state), is brought in a strong electric field [42]. The interaction of the field causes an alignment of the molecular dipoles, which is frozen in by cooling down in the presence of the field below the T_g (Fig. 1). The relation between the macroscopic $\chi^{(2)}$ and the molecular β can, in first approximation, be given by [48]

$$\chi^{(2)} \approx N\beta F \langle \cos^3 \theta \rangle$$

where N is the density of nonlinear groups, and F is a local field correction factor. The factor $\langle \cos^3 \theta \rangle$ represents an average over all the molecules, with θ the angle of the dipole with respect to the electric field, in the assumption that the nonlinear group can be idealized by a "one-dimensional" hyperpolarizable fragment. It is a measure for the degree of polar ordering which is given by $\mu_0 E / ckT$ in the case of poling (μ_0 is the permanent dipole moment, k the Boltzmann constant, and T the temperature in Kelvin). Depending on the specific type of polymer system, c is a constant between 1 and 5 [28], [45]. The initially isotropic film becomes uniaxial after poling, with the optical axis parallel to the direction of the poling field [(∞ mm) sym-

metry class]. The electro-optic tensor has the following form (contracted notation):

$$\begin{bmatrix} 0 & 0 & r_{13} \\ 0 & 0 & r_{13} \\ 0 & 0 & r_{33} \\ 0 & r_{13} & 0 \\ r_{13} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

V. WAVEGUIDE PROPERTIES OF NONLINEAR OPTICAL POLYMERS

If a nonlinear optical polymer is to be used for an integrated optic modulator or switch, it also needs to satisfy a number of basic properties for waveguiding; these are appropriate confinement in transversal and lateral direction as well as low absorption. In terms of these properties, nonlinear optical polymer waveguides are rather similar to passive polymer waveguides. The first reports on passive waveguides in organic thin films, and comparative results of several materials and techniques, date from the early 1970's [37], [38], [49]–[52]. Losses well below 1 dB/cm (best value: 0.04 dB/cm) have been measured for the fundamental modes in slab waveguides. For nonlinear optical polymer waveguides, similar results have been reported recently, although absorption levels are generally higher than for passive polymers. This is caused by the highly nonlinear organic compounds which are strongly colored and absorb at shorter wavelengths [19].

The transversal confinement in polymeric waveguides is generally obtained by using a stacked multilayer of different polymers or materials such as SiO_2 , Si_3N_4 , or SiO_xN_y [6] with different refractive index, or by using modified versions of the same polymer. A technological problem can be that subsequent polymeric layers dissolve each other or cause cracks.

To obtain lateral confinement for waveguides in thin films, different techniques exist. The differences are mostly imposed by specific material properties. Standard techniques like dry etching [2], [33] can be used to fabricate standard configurations like rib waveguides, strip waveguides, or inverted rib waveguides. Losses around 6 dB/cm have been reported for inverted rib polymeric waveguides (monomodal operation at 1300 nm) fabricated on top of a GaAs or Si substrate covered by a PECVD SiO_2 -layer [6], [53] or below 3 dB/cm using a UV curable epoxy as cladding layers [54]. Other more complex schemes use local ion exchange in low index glass to achieve an inverted strip loaded guide in the polymer [55] and wet processing of polymer gelatin [56], [57], or solvent-assisted indiffusion of nonlinear molecules to obtain graded index guides, with mode profiles compatible with graded index fibers [58], [59]. Losses of a few dB/cm are reported. In all these experiments, it is not always clear to what extent the losses are due to either absorption or scattering.

The most promising and flexible technique for obtaining lateral confinement, however, makes use of chemical transformations induced by UV irradiation. This exposure can increase the refractive index by changes in density due to photopolymerization of residual monomers [60]–[62]. In this way, very low fiber-to-waveguide coupling loss (~ 1 dB) [63] and a star coupler were demonstrated [64]. Attempts to make this tech-

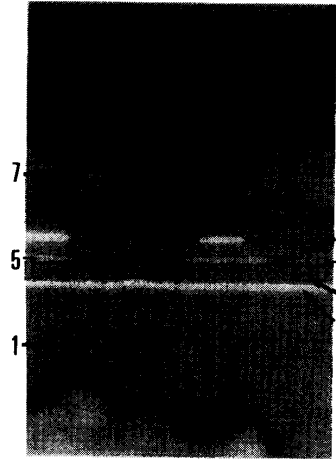


Fig. 2. Microscope picture (with interference contrast) of the polished endface of a polymeric waveguide layer structure. The scale is in μm . (1) glass substrate, (2) the uniform underelectrode, (3) the undercladding layer, (4) the bleached core layer, (5) the waveguide (unbleached), (6) the upper cladding and the Au stripes used for bleaching, and (7) UV curing epoxy.

nique suitable for mass production were already demonstrated [65]. Other techniques include direct laser writing [66] photooxidation of polyalkylsilines (decreasing the refractive index) [67], "photolocking" (locking of dopants in a polymermatrix under influence of UV) [49], [68], and diffusion of low molecular weight constituents into local polymerizing regions [69], [70].

In the framework of the European RACE 1019 Project (Polymeric Optical Switches), a sidechain polymer furnished by AKZO is used at our laboratory [71]. The structure of the sidechains, highly polarizable DANS molecules, can be changed by UV-exposure allowing a decrease in refractive index [72], [73]. Waveguides are now formed by exposing the polymeric layer through a mask. The result is a completely planar surface, with extremely smooth waveguides and very low scattering losses. This process also allows us to tune devices, fabricated on transparent substrates, after complete fabrication. Using this UV bleaching, waveguides have been fabricated with losses lower than 1 dB/cm at $1.3 \mu\text{m}$ [71]. A similar technique was reported in [74], with relatively high waveguide losses, however.

Although, in comparison to III-V materials and LiNbO_3 , the technology for fabricating waveguides in polymers is very new, these results are already quite reasonable. For InP-InGaAsP rib waveguides, typical losses are below 1 dB/cm at $\lambda = 1.5 \mu\text{m}$, but values below 0.2 dB/cm have already been reported [76], [77]. For Ti-diffused waveguides in LiNbO_3 , losses can still be lower: typical results are around 0.3 dB/cm at $\lambda = 1.3 \mu\text{m}$ [78], with best results down to 0.1 dB/cm [79]. The low refractive index of polymers (1.6–1.7) does, in principle, facilitate coupling of light in or out of a waveguide. In practice, however, some extra problems have to be solved since cleaving along crystallographic planes (as in III-V) is not possible. Initially, prism coupling was used to excite the different guided modes in the film [37], [38], [51], but the risk of damaging the layers is very high. The technique is still used [71] because it allows a fast investigation of the propagation constants, and hence the refractive index profile, together with the electro-optic coefficients [80]. Apart from the prism coupling, grating

couplers are also used. The gratings are defined either by holographic exposure of a photoresist followed by dry etching in the cladding [40], [81], or directly in the polymer using the photosensitivity of the polymer [40], [49], [74], [82]–[84]. For device applications, butt coupling at a carefully prepared and polished facet remains the most convenient technique. In Fig. 2, a photograph of an endface of a waveguide is shown. The different polymeric layers and the bleached waveguides can be seen.

VI. ELECTRO–OPTIC POLYMERIC DEVICES

Going from passive waveguides toward active electro–optic devices not only implies the use of electrodes, but will also strongly influence the fabrication scheme of the device. Polymeric devices have an additional degree of freedom here as compared to LiNbO_3 or III–V devices. In LiNbO_3 devices, electrodes can only be put on top of the device. In III–V devices, the substrate (or epitaxial layers on top of it) can act as an additional electrode. In the case of the polymers, we have the possibility of using patterned electrodes on both sides of the waveguide structure, without any problem. Another advantage is the possibility of defining polymeric waveguide layers on top of finished chips. This allows for easy integration of an active polymeric waveguide with a microelectronics driver circuit or optoelectronic device (laser diode or detector), which in other materials is only possible by special techniques such as selective and/or nonplanar epitaxial growth or ELO (epitaxial lift off) [85], [86]. An example of such an integration is described in [87], where we have demonstrated the monolithic integration of a polymeric channel waveguide with a GaAs–AlGaAs GRINSCH laser diode (Fig. 3). A somewhat different approach, using a slab polyimide-based passive waveguide in combination with a GaInAsP laser, is given in [88]. Such an integration with electro–optic polymers is not only very important for future optical networks, but also opens new possibilities toward extended cavity lasers and tunable laser diodes.

Although the low refractive index of polymers, in comparison to LiNbO_3 or III–V, is advantageous for coupling light into optical fibers, it has a strong influence on the electrode-to-waveguide core spacing. This spacing needs to be sufficiently large to avoid absorption of light from the mode tail. This implies, however, that the electric field in the core for a given voltage is relatively low. In this respect, highly conductive but optically transparent polymers would be very useful as a cladding material, but to our knowledge these do not exist at the present moment. To illustrate the influence of the metal electrodes, the structure of Fig. 4 was used to model the modal loss. In Fig. 4, the propagation loss (dB/cm) is shown both for TE and TM polarization as a function of the thickness of the core layer (t , horizontal axis) and of the thickness of the cladding layers (c , vertical axis). Single-moded operation (at $\lambda = 1.3 \mu\text{m}$) is only obtained with a thickness of the core layer left to the dashed line ($< 1.15 \mu\text{m}$). The electrodes are Au ($n_{\text{Au}} = 0.408 - j 8.305$), and refractive the index contrast between core and cladding layer is 0.1. It is clear that, especially in the case of TM polarizations, thick cladding layers are necessary to lower the propagation loss. Another disadvantage of the low refractive index is that the phase shift induced by an electric field in a waveguide scales with the third power of the refractive index. Therefore, polymers need to have very high electro–optic coefficients to compete in electro–optic efficiency with high index materials. As an example, we compare the phase shift in two identical active devices—made in polymers ($n = 1.6$), and

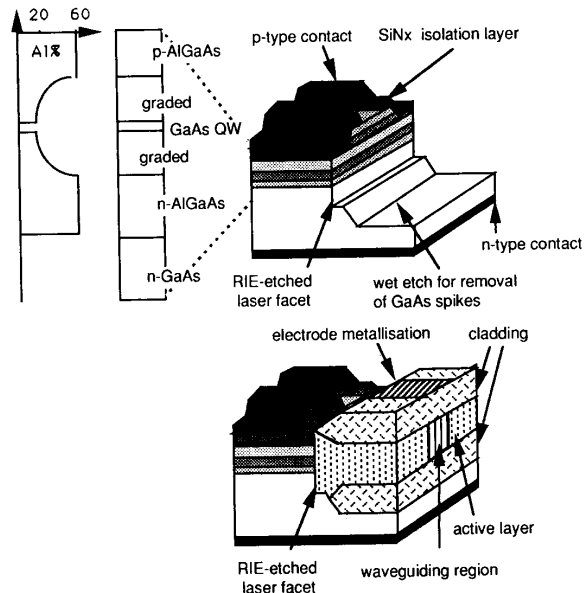


Fig. 3. Schematic view of the structure of the GRINSCH-MQW (Graded Refractive INdex Separate Confinement Heterostructure MultiQuantum Well) laser diode with etched mirror, monolithically integrated with a polymeric stripe waveguide.

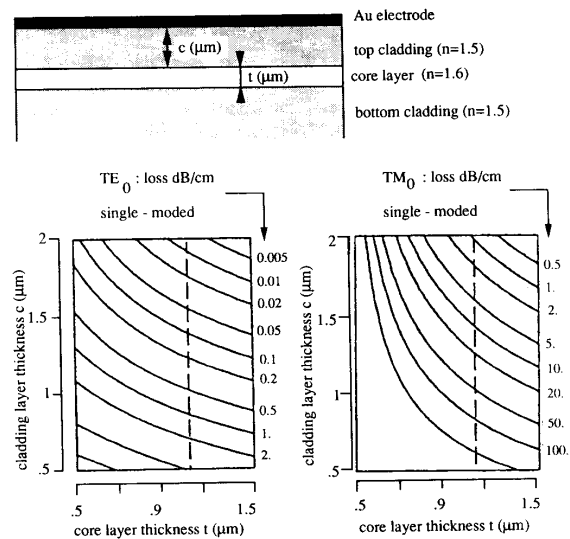


Fig. 4. Calculated loss curves (in dB/cm) for the fundamental modes (TE and TM) propagating in the waveguide, due to the presence of an absorbing Au electrode, as a function of the thickness of the core layer (t) and the cladding layer (c). The refractive index of the core and the cladding are, respectively, 1.6 and 1.5.

made in LiNbO_3 ($n = 2.25$); assuming an identical electro–optic coefficient, length, and field strength, we find that the phase shift in the polymeric device is only 36% of that in LiNbO_3 .

In thin films based on dissolved polymers (spin coating or dip coating), the active groups have to be ordered by poling in an

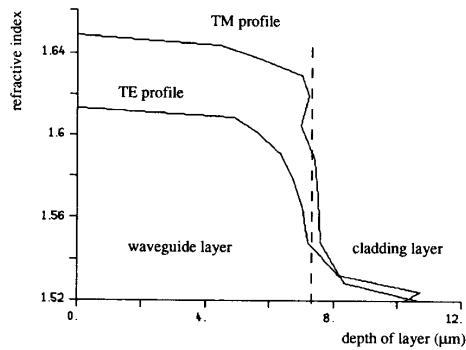


Fig. 5. Measured refractive index profile of a poled polymeric waveguide as a function of the depth of the layer (by means of the IWKB method). The layer shows birefringence.

electric field. As a result, the film also becomes birefringent [89]. This effect can be quite large, as is shown in Fig. 5, which gives the refractive index profile both for the TE and the TM mode (measured by prism coupling and calculated with the IWKB method [90]). Poling can take place in two ways: "field poling" or "corona poling." The latter has the advantage that much higher field strengths can be achieved (limited by the breakdown field strength of the gas in which the poling takes place) [91]. The former, however, can easily be carried out using the metal electrodes, already present on the film for electro-optic modulation. If the poling field is applied by means of a metallic stripe over the layer structure (Fig. 6), a waveguide is formed that guides only TM light and shows antiguiding for TE light. In the case that no breakdown occurs under the waveguide, this method is of course the easiest way to define smooth waveguides [89], [92], [93]. The problem of the electrical breakdown, and hence of the local destruction of the device, is the most severe drawback of the field poling process. Very good material quality and fabrication of the devices in clean-room environment can reduce the risk.

In order to be useful for implementation in integrated optics, one must be able to fabricate devices such as guided wave phase and intensity modulators and switches. Monomodal channel waveguiding is required, as these devices are mainly based on modal interference. So far, only very few groups have achieved this goal. The first attempts to fabricate Y-branch Mach-Zehnder interferometers and directional couplers were reported in 1988 [89], [93]. The waveguides were defined by transverse selective poling. The directional coupler consisted of a bimodal waveguide in the coupling region, covered by a uniform switching electrode. Partial modulation and switching was achieved, and r coefficients between 3 and 16 pm/V (depending on the type of polymer) were reported.

A traveling wave phase modulator designed for operating at 270 MHz was made; modulation was seen till 1 GHz, however [94], [95]. Another group, using an inverted rib waveguide and laterally placed electrodes, achieved phase modulation for both TE and TM modes [92]. Drive voltages around 100 V were required for both groups, however. Recently, single-mode Mach-Zehnder modulators have been fabricated, using a polymer with an $r_{33} = 38$ pm/V. Half-wave voltages of typically 30 V were reported [96].

In the RACE Project 1019, phase modulators, Mach-Zehnder modulators, and directional couplers have been realized with

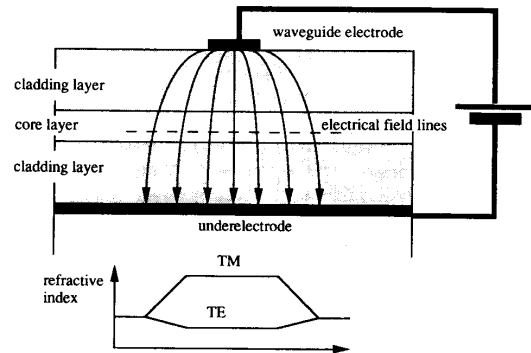


Fig. 6. Fabrication of a poling induced waveguide. By applying a field between a small metallic stripe and the underelectrode during poling, a waveguide is formed that shows lateral confinement for TM modes, but antiguiding for TE modes.

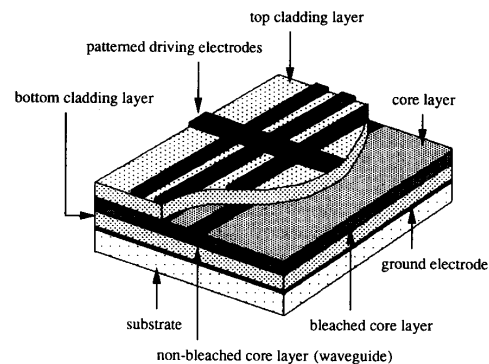


Fig. 7. Schematic drawing of an array of polymeric phase modulators.

very promising characteristics [28], [43], [71], [72], [97]. A schematic view of an array of phase modulators is given in Fig. 7. After evaporation of a uniform Au electrode on the glass substrate, the different layers are deposited by spincoating and covered again by a uniform electrode. The entire multilayer is poled at 170 V/ μm at a temperature of 130°C (T_g is 140°C). Then the top electrode is patterned and the monomode waveguides are formed by UV bleaching. Measurements showed an r_{33} value of 34 pm/V at $\lambda = 1.3$ μm . The device is 24 mm long, resulting in a $dc \cdot V_x$ of 2 V.

The same technology and processing steps have been used to fabricate Mach-Zehnder interferometers and directional mode couplers. A Mach-Zehnder interferometer with modulation depth in excess of 10 dB for a $dc \cdot V_x$ of 4.4 V over 14 mm long electrodes at $\lambda = 1.3$ μm has been fabricated. The resulting r_{33} value is 32 pm/V. On other devices, on-off ratios of more than 20 dB have been measured. Integrated 2×2 space switches, based on directional couplers, have also been fabricated (Fig. 8). Processing is comparable to the previously mentioned devices. The switching voltage (from cross to bar state) is only 7.5 V for 14 mm long electrodes, and the best modulation depth is 17 dB (Fig. 9).

Taking into account the very high predicted electro-optic coefficients for future polymeric materials, some studies have been made on the feasibility of waveguide modulators by simply inducing a waveguide with the electro-optic effect [99]. The the-

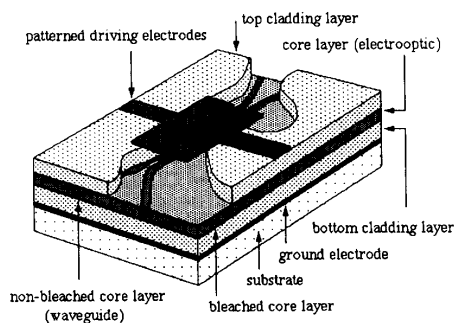


Fig. 8. Schematic drawing of a polymeric directional coupler.

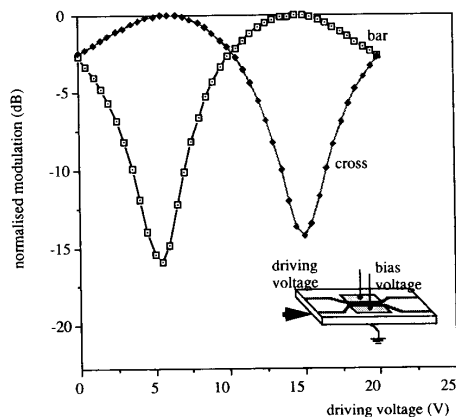


Fig. 9. Normalized modulation characteristic, in decibels, of a waveguide directional coupler for the cross and the bar state as a function of the driving voltage, with a fixed bias over the other driving electrode.

oretical calculations for a directional coupler switch predict very good mode match to optical fibers and on-off ratios down to 26 dB.

Apart from these devices for modulators and switches, nonlinear polymers have many other promising applications such as parametric amplification (in an organic single crystal [100]) and frequency doubling or second harmonic generation (SHG) which is frequently used as a characterization tool (mostly in simple transmission through the film). First results were obtained in guest-host polymer systems (a highly nonlinear molecule dissolved in a polymer matrix) [91], [101], [102], [103] and in polycrystalline organic waveguides [104]. SHG was also studied in main chain polymers [27]. Comparative data for guest-host systems and sidechain polymers are presented in [105]. A key problem in SHG is the phase matching problem of the fundamental and the SHG beam in guided wave applications. In a waveguide configuration, a technique called quasi-phase matched SHG, similar to "periodic domain reversal" in LiNbO_3 waveguides [106], was successfully demonstrated in a slab waveguide based on a nonlinear sidechain polymer [107], [108]. In this configuration, the nonlinearity is periodically switched on and off along the waveguide.

One of the main problems with poled polymeric layers is the potential relaxation of the ordering, and therefore, of the electro-optic effect. The aligned nonlinear groups in the guest-host

polymers are thermodynamically in nonequilibrium, and will randomize in the polymer matrix. Some studies indeed reported a fast relaxation [109], but others found no significant decay over 1 year [22], [101]. Corona poled films were found to be more stable than field poled films [102]. Today, sidechain polymers are believed to be more stable than guest-host polymers [40], [43], [102], but the poling behavior and the decay of the ordering strongly depend on the parameters used during corona poling [91]. Measurements on a sidechain polymer [108] indicate a decrease in the electro-optic effect to 80% of the original value after 5 years at 70°C. For the polymer used within the RACE 1019 Project, we found a decrease of 20% after 12 h at 100°C, but nearly no decay (within the experimental error) after 100 h at 80° (T_g of the polymer = 140°C). Predictions have been made for the lifetime (50% decay) of this polymer: 2.7 10^5 years at 60°, and 27 years at 80°C [43]. To further increase the thermal stability, cross-linked polymer systems are currently under investigation. By performing the cross linking after poling, the matrix becomes less flexible, thus rendering the relaxation even more difficult [110]-[112].

VII. CONCLUSIONS

In this paper, we have shown that polymers have many attractive features with regard to their use in integrated optic circuits. Molecular engineering allows the optimization of the material properties. Spin coating and low temperature processing of polymer layers on many different substrates give a flexibility necessary for complex integration schemes. As a first example, the monolithic integration of a polymer waveguide with a GaAs-AlGaAs laser diode was described.

Considering more specifically electro-optic devices, polymers have demonstrated a very high and extremely fast electro-optic effect compared to LiNbO_3 . Low-loss waveguides, fabricated by the easy technique of direct UV bleaching, have been used to build phase modulators, Mach-Zehnder interferometers, and 2×2 space switches with good performances.

The wide variety of polymers has slowed the development of standard processing techniques and is a continuous challenge in device fabrication. The stability problem of polymers has been a cause for skepticism among many researchers. The latest results are very promising, however, and further improvement can be expected.

It should be emphasized that polymers are newcomers in the field of integrated optics. Looking at the rapid rate of progress made by this technology, one can expect that polymers in general and NLO polymers in particular will play an increasingly important role in integrated optics.

ACKNOWLEDGMENTS

The authors would like to thank AKZO Corporate Research, Arnhem, The Netherlands; Pilkington: Group Research, Lathom and Barr & Stroud, Glasgow, U.K.; CGE Laboratories de Marcoussis, France; and PTT-Research Neher Laboratories, Leidschendam, The Netherlands, for useful contributions to this review.

REFERENCES

- [1] G. I. Stegeman, C. T. Seaton, and R. Zanoni, "Organic films in non-linear integrated optics structures," *Thin Solid Films*, vol. 152, pp. 231-263, Feb. 1987.
- [2] P. Pantelis, J. R. Hill, S. N. Oliver, and G. J. Davies, "Or-

- ganic polymer films for nonlinear optics," *British Telecom Technol. J.*, vol. 6, no. 3, pp. 5-17, July 1988.
- [3] S. T. Kowel, L. Ye, Y. Zhang, and L. M. Hayden, "Organic and polymeric thin films for nonlinear optics," *Opt. Eng.*, vol. 26, no. 2, pp. 107-112, Feb. 1987.
 - [4] G. R. Meredith, "Prospects of new nonlinear organic materials," in *Nonlinear Optics: Materials and Devices*, Flytzanis and Oudar, Eds. Berlin: Springer-Verlag, 1986.
 - [5] J. F. Nicoud and R. J. Twieg, "Design and synthesis of organic molecular compounds for efficient second-harmonic generation," in *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 1, D. S. Chemla and J. Zyss, Eds., New York: Academic, 1987.
 - [6] S. Mann and A. R. Oldroyd, "Infra-red optical channel waveguiding in a nonlinear optical polymer," *GEC J. Res.*, vol. 6, no. 3, pp. 170-175, 1988.
 - [7] A. R. Oldroyd, S. Mann, and K. J. McCaillon, "Measurement of quadratic electro-optic effect in polydiacetylene optical waveguide," *Electron. Lett.*, vol. 25, no. 22, Oct. 1989.
 - [8] X. F. Cao, J. P. Jiang, D. F. Bloch, and R. W. Hellwarth, "Picosecond optical response of polymeric disubstituted ethylaminovinyl-polyaniline," presented at the Conf. Lasers Electro-Opt. (CLEO), Anaheim, CA, Apr. 1988, Paper WW2.
 - [9] G. M. Carter, Y. J. Chen, M. F. Rubner, D. J. Sandman, M. K. Thakur, and S. K. Tripathy, "Degenerate third-order nonlinear optical susceptibility of polydiacetylenes," in *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 2, D. S. Chemla and J. Zyss, Eds. New York: Academic, 1987.
 - [10] G. M. Carter, Y. J. Chen, and S. K. Tripathy, "Intensity dependent index of refraction in organic materials," *Opt. Eng.*, vol. 24, no. 4, pp. 609-612, July 1985.
 - [11] T. Kaino, H. Kobayashi, K. Kubodera, and T. Kurihara, "Optical third-harmonic generation from poly-(2,5-dimethoxy p-phenylene vinylene) thin film," *Appl. Phys. Lett.*, vol. 54, no. 17, pp. 1619-1621, Apr. 1989.
 - [12] J. Valera, A. Darzi, A. C. Walker, W. Krug, W. Miao, M. Derstine, and J. N. Polky, "Observation of self-lensing in a 4BCMU-Polydiacetylene thin-film waveguide," *Electron. Lett.*, vol. 26, no. 4, pp. 222-223, Feb. 1990.
 - [13] H. M. M. Klein Koerkamp, T. H. Hoekstra, G. J. M. Krijnen, A. Driessen, P. V. Lambeck, and T. J. A. Popma, "A simple method for the determination of $\chi^{(3)}$ -coefficients based on the DC Kerr effect," in *Materials for Non-linear and Electro-optics*, Inst. Phys. Conf. Series 103, M. H. Lyons, Ed. Bristol, 1989.
 - [14] M. Sinclair, D. McBranch, D. Moses, and J. Heeger, "Time-resolved waveguide modulation of a conjugated polymer," *Appl. Phys. Lett.*, vol. 53, no. 24, pp. 2374-2376, Dec. 1988.
 - [15] G. M. Carter, Y. J. Chen, and S. K. Tripathy, "Third-order nonlinear susceptibility in multilayers of polydiacetylene," in *ACS Symp. Series 233*, D. J. Williams, Ed. Washington, DC, 1983.
 - [16] A. F. Garito, K. D. Singer and C. C. Teng, "Molecular optics: Nonlinear optical properties of organic and polymeric crystals," in *ACS Symp. Series 233*, D. J. Williams, Ed. Washington, DC, 1983.
 - [17] B. F. Levine and C. G. Bethea, "Second and third order hyperpolarizabilities of organic molecules," *J. Chem. Phys.*, vol. 63, no. 6, pp. 2666-2682, Sept. 1975.
 - [18] C. Sauteret, J. P. Hermann, R. Frey, F. Pradère, J. Ducing, R. H. Baughman, and R. R. Chance, "Optical nonlinearities in one-dimensional-conjugated polymer crystals," *Phys. Rev. Lett.*, vol. 36, no. 16, pp. 956-959, Apr. 1976.
 - [19] R. Cunningham, "A view of second-order nonlinear organic materials," *Lasers Opton.*, pp. 63-65, Dec. 1989.
 - [20] G. R. Meredith, "Design and characterisation of molecular and polymeric nonlinear optical materials: Successes and pitfalls," in *ACS Symp. Series 233*, D. J. Williams, Ed. Washington, DC, 1983.
 - [21] C. L. Adler and N. M. Lawandy, "New electro-optic materials using guest-host systems," *Electron. Lett.*, vol. 26, no. 3, pp. 217-218, Feb. 1990.
 - [22] K. D. Singer, S. L. Lalama, J. E. Sohn, and R. D. Small, "Electro-optic organic materials," in *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 1, D. S. Chemla and J. Zyss, Eds. New York: Academic, 1987.
 - [23] M. G. Hutchings, "Design and synthesis of organic nonlinear optical materials," in *Materials for Non-linear and Electro-optics*, Inst. Phys. Conf. Series 103, M. H. Lyons, Ed. Bristol, 1989.
 - [24] K. Sutter, C. Bosshard, L. Baraldi, and P. Günter, "Nonlinear optical and electro-optic properties of 2-(N-prolinol)-5-nitropyridine (PNP) crystals," in *Materials for Non-linear and Electro-optics*, Inst. Phys. Conf. Series 103, M. H. Lyons, Ed. Bristol, 1989.
 - [25] P. Kerkoc, M. Zgonik, K. Sutter, C. Bosshard, and P. Günter, "Optical and nonlinear optical properties of 4-(N,N-dimethylamino)-3-acetamidonitrobenzene single crystals," in *Materials for Non-linear and Electro-optics*, Inst. Phys. Conf. Series 103, M. H. Lyons, Ed. Bristol, 1989.
 - [26] J. Zyss, "Nonlinear organic materials for integrated optics: A review," *J. Molecular Electron.*, vol. 1, pp. 25-45, 1985.
 - [27] C. S. Willand and D. J. Williams, "Nonlinear optical properties of polymeric materials," *Ber. Bunsenges. Phys. Chem.*, vol. 91, pp. 1304-1310, May 1987.
 - [28] G. R. Möhlmann, W. H. G. Horsthuis, C. P. J. M. van der Vorst, A. McDonach, M. Copeland, C. Duchet, P. Fabre, M. B. J. Diemeer, E. S. Trommel, F. M. M. Suyten, P. Van Daele, E. Van Tomme, and R. Baets, "Recent developments in optically nonlinear polymers and related electro-optic devices," in *Proc. SPIE*, vol. 1147, Nonlinear Optic. Properties Organic Mater. II, pp. 245-255, Aug. 1989.
 - [29] *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 1, D. S. Chemla and J. Zyss, Eds. New York: Academic, 1987.
 - [30] *Nonlinear Optical Properties of Organic and Polymeric Materials*, ACS Symp. Series 233, D. J. Williams, Ed. Washington, DC, 1983.
 - [31] D. J. Williams, "Organische polymere und nichtpolymere Materialien mit guten nichtlinearen optischen Eigenschaften," *Angew. Chem.*, vol. 96, pp. 637-651, 1984.
 - [32] E. W. Meijer, S. Nijhuis, and E. E. Havinga, "Conducting, ferromagnetic and nonlinear optical properties of polymers," *Philips J. Res.*, vol. 43, no. 5-6, pp. 506-530, 1988.
 - [33] J. R. Hill, P. Pantelis, and G. J. Davies, "Non-linear optical and electro-optical properties of polymer thin films for non-linear optical applications," in *Materials for Non-linear and Electro-optics*, Inst. Phys. Conf. Series 103, M. H. Lyons, Ed. Bristol, 1989.
 - [34] J. Berrehar, C. Lapersonne-Meyer, and M. Schott, "Polydiacetylene single crystal thin films," *Appl. Phys. Lett.*, vol. 48, no. 10, pp. 630-631, Mar. 1986.
 - [35] I. Ledoux, D. Josse, P. Vidakovic, and J. Zyss, "Highly efficient single-crystalline organic thin films for quadratic nonlinear optics," *Optic. Eng.*, vol. 25, no. 2, pp. 202-210, Feb. 1986.
 - [36] A. Barraud and M. Vandevyver, "Growth and characterisation of organic thin films (Langmuir-Blodgett films)," in *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 1, D. S. Chemla and J. Zyss, Eds. New York: Academic, 1987 (and references therein).
 - [37] P. K. Tien, G. Smolinsky, and R. J. Martin, "Thin organosilicon films for integrated optics," *Appl. Opt.*, vol. 11, no. 3, pp. 637-642, Mar. 1972.
 - [38] J. D. Swalen, M. Tacke, R. Santo, and J. Fischer, "Determination of optical constants of polymeric thin films by integrated optical techniques," *Opt. Commun.*, vol. 18, no. 3, pp. 387-390, Aug. 1976.
 - [39] S. Tomaru, K. Kubodera, and S. Zembutsu, "Optical third-harmonic generation from polydiacetylene thin films deposited by vacuum evaporation," *Electron. Lett.*, vol. 23, no. 11, pp. 595-596, May 1987.
 - [40] D. A. Ender, R. S. Moshrefzadeh, G. T. Boyd, L. M. Leichter, J. C. Liu, R. M. Henry, and R. C. Williams, "Polymeric and organic crystalline films for electro-optic applications," in *Proc. SPIE*, vol. 971, Nonlinear Optical Properties of Organic Materials, 1988, pp. 144-153.
 - [41] R. Selvaraj, H. T. Lin, and J. F. McDonald, "Integrated optical waveguides in polyimide for wafer scale integration," *J. Lightwave Technol.*, vol. 6, no. 6, pp. 1034-1044, June 1988.
 - [42] D. J. Williams, "Nonlinear optical properties of guest-host polymer structures," in *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 1, D. S. Chemla and J. Zyss, Eds. New York: Academic, 1987.

- [43] G. R. Möhlmann, W. H. G. Horsthuis, A. McDonach, M. Copeland, C. Duchet, P. Fabre, M. B. J. Diemeer, E. S. Trommel, F. M. M. Suyten, E. Van Tomme, P. Baquero, and P. Van Daele, "Optically nonlinear polymeric switches and modulators," presented at SPIE Int. Symp. Opt. Optoelec. Appl. Sci. Eng., Nonlinear Optic. Properties Organic Mater. III., San Diego, CA, July 1990.
- [44] J. Zyss and D. S. Chemla, "Quadratic nonlinear optics and optimization of the second-order nonlinear optical response of molecular crystals," in *Nonlinear Optical Properties of Organic Molecules and Crystals*, vol. 1, D. S. Chemla and J. Zyss, Eds. New York: Academic, 1987.
- [45] K. D. Singer, M. G. Kuzyk, and J. E. Sohn, "Second-order nonlinear-optical processes in orientationally ordered materials: Relationship between molecular and macroscopic properties," *J. Opt. Soc. Amer. B*, vol. 4, no. 6, pp. 968-976, June 1987.
- [46] R. A. Huijts, L. W. Jenneskens, C. P. J. M. van der Vorst, and C. T. J. Wreemann, "Construction of the second-order optical nonlinearity in an organic side-chain polymer," in *Proc. Cong. Opt. Sci. Eng. SPIE*, 1126, Paris, Apr. 1989.
- [47] R. J. Twieg and K. Jain, "Organic materials for second harmonic generation," in *Nonlinear Optical Properties of Organic and Polymeric Materials*, ACS Symp. Series 233, D. J. Williams, Ed. Washington, DC, 1983.
- [48] G. R. Möhlmann, "Prespectives for optically nonlinear polymers in optoelectronic applications," in *SPIE*, vol. 866, *Mater. Technol. Optic. Commun.*, Nov. 1987, pp. 80-84.
- [49] W. J. Tomlinson and H. P. Weber, "Optical directional couplers and grating using a new high-resolution photolocking material," *Appl. Phys. Lett.*, vol. 26, no. 6, Mar. 1975.
- [50] R. Ulrich and H. P. Weber, "Solution-deposited thin films as passive and active light guides," *Appl. Opt.*, vol. 11, no. 2, Feb. 1972.
- [51] P. K. Tien, "Light waves in thin films and integrated optics," *Appl. Opt.*, vol. 10, no. 11, pp. 2395-2413, Nov. 1971.
- [52] J. D. Swalen, R. Santo, M. Tacke, and J. Fischer, "Properties of polymeric thin films by integrated optical techniques," *IBM J. Res. Develop.*, pp. 168-175, Mar. 1977.
- [53] E. Van Tomme, unpublished results.
- [54] P. R. Ashley and T. A. Tumolillo, "Single-mode nonlinear polymer channel waveguides using a photopolymer cladding technique," presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, 1990, Paper MF4.
- [55] N. E. Schlotter, J. L. Jackel, P. D. Townsend, and G. L. Baker, "Fabrication of channel waveguides in polydiacetylenes: Composite diffused glass/polymer structures," *Appl. Phys. Lett.*, vol. 56, no. 1, Jan. 1990.
- [56] M. R. Wang, R. T. Chen, G. J. Sonek, and T. Jansson, "Wavelength-division multiplexing and demultiplexing on locally sensitized single mode polymer microstructure waveguides," *Opt. Lett.*, vol. 15, no. 7, pp. 363-365, Apr. 1990.
- [57] R. T. Chen, M. R. Wang, and T. Jansson, "Polymer microstructure waveguides on alumina and beryllium oxide substrates for optical interconnection," *Appl. Phys. Lett.*, vol. 56, no. 8, pp. 709-711, Feb. 1990.
- [58] M. J. Goodwin, R. Glenn, and I. Bennion, "Organic nonlinear optical waveguides formed by solvent-assisted diffusion," *Electron. Lett.*, vol. 22, no. 15, pp. 789-791, July 1986.
- [59] S. Ura, Y. Hida, T. Suhara, and H. Nishihara, "Bistable behaviour of Fabry-Perot resonator constructed with MNA-diffused ADC polymer waveguide," presented at the 7th Int. Conf. Integrated Opt. Optic. Fiber Commun. (IOOC), Kobe, July 1989, Paper 20A2-2.
- [60] W. J. Tomlinson, I. P. Kaminow, E. A. Chandross, R. L. Fork, and T. Silfvast, "Photoinduced refractive index increase in poly(methylmethacrylate) and its applications," *Appl. Phys. Lett.*, vol. 16, no. 12, pp. 486-489, June 1970.
- [61] M. J. Bowden, E. A. Chandross, and I. P. Kaminow, "Mechanism of the photoinduced refractive index increase in poly-methyl methacrylate," *Appl. Opt.*, vol. 13, no. 1, pp. 112-117, Jan. 1974.
- [62] I. Kato, M. Komatsu, S. Kawamoto, and K. Sato, "Polymer thin film optical waveguide," *Electron. Commun. Japan*, vol. 65-c, no. 11, pp. 101-107, 1982.
- [63] T. Kurokawa, N. Takato, and Y. Katayama, "Polymer optical circuits for multimode optical fiber systems," *Appl. Opt.*, vol. 19, no. 18, pp. 3124-3129, Sept. 1980.
- [64] M. Morisawa, H. Ito, T. Gozen, H. Tanaka, and M. Yotsuya, "Transmission properties of waveguide-type 8-port divider and 8 x 8 coupler," presented at the 7th Int. Conf. Integrated Opt. Optic. Fiber Commun. (IOOC), Kobe, July 1989, Paper 21D2-3.
- [65] H. Hosokawa, N. Horie, and T. Yamashita, "Simultaneous fabrication of grating couplers and an optical waveguide by photopolymerization," presented at the Top Meet. Integrated Photon. Res., San Diego, CA, 1990, Paper MF6.
- [66] R. R. Krchnavek, G. R. Lalk, and D. H. Hartman, "Laser direct writing to channel waveguides using spin-on polymers," *J. Appl. Phys.*, vol. 66, no. 11, pp. 5156-5160, Dec. 1989.
- [67] L. A. Hornak, "Polyalkylsilyne photodefined thin-film optical waveguides," *J. Appl. Phys.*, vol. 67, no. 5, pp. 2235-2239, Mar. 1990.
- [68] E. A. Chandross and C. A. Pryde, "Photolocking—A new technique for fabricating optical waveguide circuits," *Appl. Phys. Lett.*, vol. 24, no. 2, pp. 72-74, Jan. 1974.
- [69] B. L. Booth and J. E. Marchegiano, "Waveguide properties and devices in photopolymer," presented at the 14th Euro. Conf. Optic. Commun. (ECOC), Brighton, Sept. 1988, pp. 589-590.
- [70] B. L. Booth, "Low loss channel waveguides in polymers," *J. Lightwave Technol.*, vol. 7, no. 10, pp. 1445-1453, Oct. 1989.
- [71] M. B. J. Diemeer, F. M. M. Suyten, E. S. Trommel, G. R. Möhlmann, W. H. Horsthuis, D. P. J. M. van der Vorst, A. McDonach, M. Copeland, C. Duchet, P. Fabre, S. Samsø, E. Van Tomme, P. van Daele, and R. Baets, "Polymeric channel waveguide modulators," presented at the 15th Euro. Conf. Optic. Commun. (ECOC), Götheburg, Sept. 1989, Paper ThB22-3.
- [72] A. McDonach, M. Copeland, G. R. Möhlmann, W. H. G. Horsthuis, M. B. J. Diemeer, F. M. M. Suyten, E. S. Trommel, P. Van Daele, E. Van Tomme, C. Duchet, and P. Fabre, "Polymeric guided wave optics," presented at SPIE OE/FIBRES Conf., Boston, MA, Sept. 1989, Paper 1177-09.
- [73] M. B. J. Diemeer, F. M. M. Suyten, and E. S. Trommel, "Photoinduced channel waveguide formation in nonlinear optical polymers," *Electron. Lett.*, vol. 26, no. 6, pp. 379-380, Mar. 1990.
- [74] K. B. Rochford, R. Zanoni, Q. Gong, and G. I. Stegeman, "Fabrication of integrated optical structures in polydiacetylene films by irreversible photoinduced bleaching," *Appl. Phys. Lett.*, vol. 55, no. 12, pp. 1161-1163, Sept. 1989.
- [75] C. H. Henry, G. E. Blonder, and R. F. Kazarinov, "Glass waveguides on silicon for hybrid optical packaging," *J. Lightwave Technol.*, vol. 7, pp. 1530-1539, Oct. 1989.
- [76] J. H. Angenent, M. Erman, J. M. Auger, R. Gamonal, and P. J. A. Thijs, "Extremely low loss InP/GaInAsP rib waveguides," *Electron. Lett.*, vol. 25, no. 10, May 1989.
- [77] Y. Bourbin, A. Enard, R. Blondeau, D. Rondi, and M. Papuchon, "Very low loss waveguides and efficient modulators in InGaAsP/InP," presented at the Top. Meet. Integrated Guided-Wave Opt. (IGWO), Houston, TX, Feb. 1989, Paper MEE7.
- [78] M. Papuchon, "Integrated optics: LiNbO₃ or semiconductors?" presented at the Euro. Solid-State Device Res. Conf. (ESSDERC), 1987, pp. 877-880, Paper IP12.
- [79] K. Komatsu, S. Yamazaki, M. Kondo, and Y. Ohta, "Low-loss broad-band LiNbO₃ guided-wave phase modulators using titanium/magnesium double diffusion method," *J. Lightwave Technol.*, vol. LT-5, pp. 1239-1245, Sept. 1987.
- [80] W. H. G. Horsthuis, "Simple measuring method for electro-optic coefficients in poled polymer waveguides," *Appl. Phys. Lett.*, vol. 55, no. 14, pp. 616-618, Aug. 1989.
- [81] R. Moshrezadeh, X. Mai, C. T. Seaton, and G. I. Stegeman, "Efficient grating couplers for polymer waveguides," *Appl. Opt.*, vol. 26, no. 13, p. 2501, July 1987.
- [82] R. T. Chen, W. Phillips, T. Jansson, and D. Pelka, "Integration of holographic optical elements with polymer gelatin waveguides on GaAs, LiNbO₃, glass and aluminium," *Opt. Lett.*, vol. 14, no. 16, pp. 892-894, Aug. 1989.
- [83] F. M. Schellenberg and R. L. Byer, "Fabrication of birefringent gratings using nonlinear polysilane thin films," *Opt. Lett.*, vol. 15, no. 4, pp. 242-244, Feb. 1990.
- [84] M. R. Wang, R. T. Chen, G. J. Sonek, and T. Jansson, "Four-channel wavelength demultiplexer using polymer microstructure waveguides," presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, Apr. 1990, Paper TuD10.

- [85] A. Ackaert, P. Demeester, D. Lootens, P. Van Daele, D. Rondi, G. Glastre, Y. Bourbin, A. Enard, R. Blondeau, and M. Papuchon, "Monolithic integration of GaAs electronics and InP waveguides for long wavelength optical switching networks," presented at the 5th Euro. Conf. Integrated Opt. (ECIO), Paris, Apr. 1989, Paper 1141-05.
- [86] P. Demeester, P. Van Daele, I. Pollentier, W. Temmerman, P. Lagasse, D. Rondi, G. Glastre, A. Enard, R. Blondeau, P. Jarry, J. Le Bris, M. Renaud, H. Angenent, M. Wale, and N. Wilson, "Integration of GaAs MESFET's and InP waveguides for optical switching networks," presented at the 15th Euro. Conf. Optic. Commun. (ECOC), Gothenburg, Sept. 1989, Paper ThA19-4.
- [87] P. Van Daele, E. Van Tomme, M. Van Ackere, D. Lootens, R. Baets, P. Demeester, G. R. Möhlmann, and C. T. J. Wreetsman, "Monolithic integration of a GaAs/AlGaAs laser diode and a polymeric channel waveguide," presented at the 15th Euro. Conf. Optic. Commun. (ECOC), Gothenburg, Sept. 1989, Postdeadline Paper PDB-4.
- [88] K. Furiya, B. I. Miller, L. A. Coldren, and E. Hopward, "Novel deposit spin waveguide interconnection (DSWI) technique for semiconductor integrated optics," *Electron. Lett.*, vol. 18, no. 5, pp. 204-205, Mar. 1982.
- [89] J. I. Thackara, G. F. Lipscomb, M. A. Stiller, A. J. Ticknor, and R. Lytel, "Poled electro-optic waveguide formation in thin film organic media," *Appl. Phys. Lett.*, vol. 52, no. 13, pp. 1031-1033, Mar. 1988.
- [90] J. M. White and P. F. Heidrich, "Optical waveguide refractive index profiles determined from measurement of mode indices: A simple analysis," *Appl. Opt.*, vol. 15, no. 1, pp. 151-155, Jan. 1976.
- [91] H. L. Hampsch and J. M. Torkelson, "Second harmonic generation in corona poled, doped polymer films as a function of corona processing," *J. Appl. Phys.*, vol. 67, no. 3, pp. 1037-1041, Jan. 1990.
- [92] H. Haga and S. Yamamoto, "Waveguide electro-optic modulator using poled polymer film," presented at the 7th Integrated Opt. Optic. Fiber Commun. (IOOC), Kobe, July 1989, Paper 20A2-3.
- [93] J. I. Thackara, M. A. Stiller, A. J. Ticknor, G. F. Lipscomb, and R. Lytel, "Poled electronic waveguide devices in thin-film organic media," presented at the Conf. Lasers Electro-Opt. (CLEO), Anaheim, CA, Apr. 1988, Paper TUK4.
- [94] R. Lytel, G. F. Lipscomb, M. Stiller, J. I. Thackara, and A. J. Ticknor, "Organic electro-optic waveguide modulators and switches," in *Proc. SPIE*, vol. 971, Nonlinear Optic. Properties Organic Mater., 1988, pp. 218-229.
- [95] —, "Nonlinear and electro-optic polymer waveguide devices," presented at the Top. Meet. Integrated Guided-Wave Opt. (IGWO), Houston, TX, Feb. 1989, pp. 126-129, Paper TuAA1-1.
- [96] D. Haas, C. C. Teng, H. Yoon, H.-T. Man, and K. Chiang, "Polymeric materials and electro-optic waveguide modulators," presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, 1990, Paper MF2.
- [97] G. R. Möhlmann, W. H. G. Horsthuis, A. McDonach, M. J. Copeland, C. Duchet, P. Fabre, M. B. J. Diemeer, E. S. Trommel, F. M. M. Suyten, P. van Daele, E. van Tomme, and R. Baets, "Polymeric optically nonlinear modulators and switches," presented at the Top. Meet. Integrated Photon. Res., Hilton Head, SC, Mar. 1990, Paper MF1.
- [98] E. Van Tomme, P. Van Daele, R. Baets, G. R. Möhlmann, and M. B. J. Diemeer, "Guided wave modulators and switches fabricated in electro-optic polymers," to be published.
- [99] P. Kaczmarek, J.-P. Van de Capelle, P. E. Lagasse, and R. Meynart, "Design of an integrated electro-optic switch in organic polymers," *IEE Proc., Pt. J*, vol. 136, no. 3, June 1989.
- [100] J. Zyss, I. Ledoux, R. B. Hierle, R. K. Raj, and J.-L. Oudar, "Optical parametric interactions in 3-methyl-4-nitropyridine-1-oxide (POM) single crystals," *IEEE J. Quantum Electron.*, vol. QE-21, pp. 1286-1295, 1985.
- [101] K. D. Singer, J. E. Sohn, and S. J. Lalama, "Second harmonic generation in poled polymer films," *Appl. Phys. Lett.*, vol. 49, no. 5, Aug. 1986.
- [102] K. D. Singer, M. G. Kuzyk, W. R. Holland, J. E. Sohn, and S. J. Lalama, "Electro-optic phase modulation and optical second-harmonic generation in corona-poled polymer films," *Appl. Phys. Lett.*, vol. 53, no. 19, pp. 1800-1802, Nov. 1988.
- [103] J. R. Hill, P. L. Dunn, G. J. Davies, S. N. Oliver, P. Pantelis, and J. D. Rush, "Efficient frequency-doubling in a poled PVDF copolymer guest/host composite," *Electron. Lett.*, vol. 23, no. 13, pp. 700-701, Mar. 1987.
- [104] G. H. Hewig and K. Jain, "Frequency doubling in an organic waveguide," *Opt. Commun.*, vol. 47, no. 5, pp. 347-350, Oct. 1983.
- [105] S. Esselin, P. Le Barny, P. Robin, D. Broussoux, J. C. Dubois, J. Raffy, and J. P. Pocholle, "Second harmonic generation in amorphous polymers," in *Proc. SPIE*, vol. 971, Nonlinear Optic. Properties Organic Mater., 1988, pp. 120-127.
- [106] M. M. Feier and E. J. Lim, "Quasi-phase-matched second-harmonic generation in LiNbO₃ waveguides," paper presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, Apr. 1990, Paper Tu11.
- [107] G. Khanarian and R. A. Norwood, "Quasi-phase-matched frequency doubling over several millimeters in poled polymer waveguides," presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, Apr. 1990, Paper PD11.
- [108] J. B. Stamatoff, "Recent developments in the application of polymers to electro-optic and second-harmonic devices," presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, Apr. 1990, Paper MA1.
- [109] H. L. Hampsch, J. Yang, G. K. Wong, and J. M. Torkelson, "Orientation and second harmonic generation in doped polystyrene and poly(methyl methacrylate) films," *Macromolecules*, vol. 21, no. 2, pp. 526-528, 1988.
- [110] M. A. Hubbard, N. Minami, C. Ye, T. J. Marks, J. Yang, and G. K. Wong, "Poled polymeric second harmonic generation materials. Chemical manipulation of the temporal characteristics of electric field-induced noncentrosymmetry," in *Proc. SPIE*, vol. 971, Nonlinear Optic. Properties Organic Mater., 1988, pp. 136-143.
- [111] B. Reck, M. Eich, D. Jungbauer, J. Twieg, C. G. Willson, D. Y. Yoon, and G. C. Bjorklund, "Crosslinked epoxy polymers with large and stable nonlinear optical susceptibilities," in *Proc. SPIE*, vol. 1147, Nonlinear Optic. Properties Organic Mater. II, 1989, pp. 74-83.
- [112] W. H. G. Horsthuis, P. M. van der Horst, and G. R. Möhlmann, "Developments in high-temperature, stable nonlinear-optical polymers," presented at the Top. Meet. Integrated Photon. Res., San Diego, CA, Apr. 1990, Paper MF3.



Emmanuel Van Tomme (S'89) was born in 1964. He received the degree in electrical engineering from the Rijksuniversiteit Gent, Belgium, in 1987. From 1987 to 1991, he was with the Laboratory of Electromagnetics and Acoustics (LEA), Rijksuniversiteit Gent, pursuing the Ph.D. degree on the characterization and development of passive and active devices based on nonlinear polymeric materials for applications in integrated optics. He also worked on the integration of polymeric materials with III-V optoelectronic components.

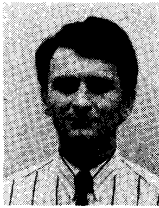


Peter P. Van Daele (M'91) received the electrical engineering and Ph.D. degree, also in electrical engineering, from the Rijksuniversiteit Gent, Belgium, in 1984 and 1988, respectively.

From 1984 to 1988, he was with the Laboratory of Electromagnetics and Acoustics (LEA), Rijksuniversiteit Gent, working on the fabrication of optoelectronic integrated circuits. In 1988 he joined the Interuniversity Microelectronic Centre (IMEC) and also during

In 1991 he joined the RTT (Regie voor Telegrafie en Telefonie).

that year, became responsible for the research and processing of devices for optoelectronics, integrated optics, and optical communications at the Laboratory of Electromagnetics and Acoustics, Rijksuniversiteit Gent.



Roel G. Baets (M'88) received the degree in electrical engineering from the University of Gent, Belgium, in 1980. He received the M.Sc. degree in electrical engineering from Stanford University, Stanford, CA, in 1981 and the Ph.D. degree from the University of Gent in 1984.

From 1984 to 1989 he was employed by the Interuniversity MicroElectronics Centre (IMEC) and worked in the Laboratory of Electromagnetism and Acoustics, University of Gent, where he coordinated the optoelectronic device research. Since 1989 he has been a professor at the University of Gent. Since 1990 he has also been a part-time professor at the Technical University of Delft, The Netherlands. He has worked in the field of III-V devices for op-

toelectronic systems and has made contributions to the modeling of semiconductor laser diodes, passive guided wave devices, and to the design and fabrication of OEIC's. His main interests are the modeling and design of optoelectronic devices and systems for optical communication and optical interconnect.

Dr. Baets is a member of the Optical Society of America and the Flemish Engineers Association.



Paul E. Lagasse (M'83) received the degree in electrical engineering in 1969 and the Ph.D. degree in 1972, both from the University of Gent, Belgium.

In 1981 he became professor of electrical engineering at the University of Gent and since 1988 has been director of the Laboratory of Electromagnetism and Acoustics. After originally working in the area of surface acoustic waves, he is now mainly active in the fields of optoelectronics, high frequency technology, and integrated broad-band telecommunications.